

## Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy	): <u>31/12/2019</u>		
To: Hong Kong Exchanges and Clea	aring Limited		
Name of Issuer  Date Submitted  Zhuzh  31/12	nou CRRC Times Elec / 2019	tric Co., Ltd.	
I. Movements in Authorised Share Ca	apital		
1. Ordinary Shares			
(1) Stock code : <u>3898</u> Desc	cription: <u>H Shares</u>		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month	547,329,400	RMB 1.00	RMB 547,329,400
Increase/(decrease)	Nil		Nil
Balance at close of the month	547,329,400	RMB 1.00	RMB 547,329,400
(2) Stock code : N/A Desc	cription : <u>Domestic</u>	Shares	
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month	628,147,237	RMB 1.00	RMB 628,147,237
Increase/(decrease)	Nil		Nil
Balance at close of the month	628,147,237	RMB 1.00	RMB 628,147,237

2. Preference Shares (N/A)			
Stock code :Descr	iption:		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month		·	
Increase/(decrease)			
Balance at close of the month			
3. Other Classes of Shares (N/A)			
Stock code :Descr	iption:		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			
Total authorised share capital at the end currency):	d of the month (State	RMB 1	,175,476,637

## II. Movements in Issued Share Capital

	No. of ordinary shares		No of preferer	nce No. of other classes	
	(1)	(2)	shares	of shares	
Balance at close of preceding month	547,329,400 (H Shares)	628,147,237 (Domestic Shares)	<u>N/A</u>	N/A	
Increase/ (decrease)					
during the month	Nil	Nil	N/A	N/A	
Balance at close of the month	547,329,400	628,147,237 (Domestic	N/A	N/A	
	(H Shares)	Shares)	N/A	N/A	

## III. Details of Movements in Issued Share Capital

Share Options (under	Share Option	n Schemes of the	he Issuer) (N	'A)		
Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and class of shares issuable	Мо	ovement durinș	g the month		No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
***************************************	Granted	Exercised	Cancelled	Lapsed		month
1.				-		
( / / ) shares (Note 1) 2.					·	
( / / ) shares (Note 1)  3.		_				
(Note 1)  Total funds raised during the (State currency)	month from e	xercise of opti	(Pre	eference s	hares)shares)	

Warrants to Issue Shares of the Issuer which are to be Listed (N/A) No. of new shares No. of new shares of of issuer issued during the month issuer which pursuant thereto may be issued pursuant Nominal value thereto as at at close of Exercised Nominal close of the Description of warrants Currency of during the value at close month preceding (Date of expiry - dd/mm/yyyy) nominal value month month of the month ( / / ) Stock code (if listed) Class of shares issuable (*Note 1*) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) ( / / Stock code (if listed) Class of shares issuable (*Note 1*) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. Stock code (if listed) Class of shares issuable (*Note 1*) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) ( / / Stock code (if listed) Class of shares issuable (*Note 1*) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) (Ordinary shares)\_\_\_\_\_ Total B. (Preference shares) (Other class)\_\_\_\_\_

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed) (N/A) No. of new No. of new shares of shares of issuer which issuer issued may be issued during the month pursuant Amount at pursuant thereto as at Currency of close of Converted Amount at thereto close of the amount during the close of the month preceding Class and description outstanding month month month Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Total C. (Ordinary shares)\_\_\_\_\_ (Preference shares) (Other class)

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes) (N/A)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1		
shares (Note 1)		
Shares (110te 1)		
2.		
shares (Note 1)		
Shares (Note 1)		
3.		
( / / )		
shares (Note 1)		
Total D. (Ordinary shares)		
(Preference shares)		
(Other class)		

Other Movements in Issued Share Capital (N/A) No. of new shares No. of new of issuer issued shares of issuer during the month which may be pursuant thereto issued pursuant thereto as at close of the month Type of Issue Class of shares issuable (Note 1) ( / / ) State Issue and allotment 1. Rights issue At price: currency date: (dd/mm/yyyy) ( / / EGM approval date: (dd/mm/yyyy) Class of shares issuable (Note 1) ( / / State Issue and allotment 2. Open offer At price: currency date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable (Note 1) ( / / ) State Issue and allotment At price: 3. Placing

		currency	date : (dd/mm/yyyy)			
			EGM approval date: (dd/mm/yyyy)	( / /	)	 
			Class of shares issuable (Note 1)			
•	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	( / /	)	
			EGM approval date: (dd/mm/yyyy)	( / /	)	 

						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue						
5.	Scrip dividend	At price :	State currency	Class of shares issuable ( <i>Note 1</i> )  Issue and allotment date: (dd/mm/yyyy)	( / /	)	
				EGM approval date: (dd/mm/yyyy)	( / /	)	
6.	Repurchase of shares			Class of shares repurchased ( <i>Note 1</i> )  Cancellation date: (dd/mm/yyyy)	( / /	)	
				EGM approval date: (dd/mm/yyyy)	( / /	)	
				Class of shares redeemed (Note 1)		_	_
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	( / /	)	
				EGM approval date: (dd/mm/yyyy)	( / /	)	
				Class of shares issuable (Note 1)			
8.	Consideration issue	At price:	State	Issue and allotment date : (dd/mm/yyyy)	( / /		
				EGM approval date: (dd/mm/yyyy)	( / /		

reorganisation  date: (dd/mm/yyyy)  EGM approval date: ( / / ) ( dd/mm/yyyy)  Class of shares issuable (Note 1)  10. Other (Please At price: State currency Issue and allotment ( / / )				issuable (Note 1)  Issue and allotment				
reorganisation  date: (dd/mm/yyyy)  EGM approval date: ( / / ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )								
Class of shares issuable (Note 1)  10. Other (Please At price: State Issue and allotment ( / / )			( / / )	date: (da/iiiii/j/j/j/				9.
10. Other (Please At price: State currency Issue and allotment ( / / )								
10. Other (Please At price: State currency Issue and allotment ( / / )								
(Please At price: State Issue and allotment ( / / )							Other	10
specify) date: (dd/mm/yyyy)			( / / )	Issue and allotment date : (dd/mm/yyyy)	State currency	At price :		10.
EGM approval date: ( / / )(dd/mm/yyyy)	_		( / / )					
(Preference shares)		Ordinary shares) _ reference shares) _ (Other class) _						

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	Nil
	(2)	Nil
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):	•	N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):	-	N/A
(These figures should be the same as the relevant figures under II above ("Movements in Issue	ed SI	hare Capital").)

Submitted by:	Tang Tuong Hock	_
Title:	Joint Company Secretary	
(Director, Se	cretary or other duly authorised officer)	

## Notes:

- 1. State the class of shares (e.g. ordinary, preference orother).
- 2. If there is insufficient space, please append the prescribed continuation sheet.