

## Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yy	yy): <u>30 / 09/ 2017</u>		
To: Hong Kong Exchanges and C	learing Limited		
	uzhou CRRC Times Elec	tric Co., Ltd.	
Date Submitted 09 /	10 / 2017		
I. Movements in Authorised Share	Capital		
1. Ordinary Shares			
(1) Stock code: <u>3898</u> D	escription : <u>H Shares</u>		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding mon	th <u>547,329,400</u>	RMB 1.00	RMB 547,329,400
Increase/(decrease)	Nil		Nil
Balance at close of the month	547,329,400	RMB 1.00	RMB 547,329,400
(2) Stock code : <u>N/A</u> D	escription: <u>Domestic</u>	Shares	
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding mon	th <u>628,147,237</u>	RMB 1.00	RMB 628,147,237
Increase/(decrease)	Nil		Nil
Balance at close of the month	628,147,237	RMB 1.00	RMB 628,147,237

Stock code :Descri	ription:		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			
3. Other Classes of Shares (N/A)			
Stock code :Descri	ription:		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Balance at close of preceding month  Increase/(decrease)			

currency):

## II. Movements in Issued Share Capital

	No. of ordinary shares		No of preference	No. of other classes
	(1)	(2)	shares	of shares
Balance at close of preceding month  Increase/ (decrease) during the month  Balance at close of the month				

## III. Details of Movements in Issued Share Capital

Share Options (under	Share Option	n Schemes of t	he Issuer) (N	/A)		
Particulars of share option scheme including EGM approval	Mo	ovement during	g the month		No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto
date (dd/mm/yyyy) and class of shares issuable	Crontad	Evansiand	Campallad	Longod		as at close of the month
	Granted	Exercised	Cancelled	Lapsed		

Warrants to Issue Shares of the Issuer which are to be Listed (N/A) No. of new shares No. of new of issuer issued shares of during the month issuer which pursuant thereto may be issued pursuant Nominal value thereto as at Exercised Nominal close of the at close of Description of warrants Currency of preceding during the value at close month (Date of expiry - dd/mm/yyyy) nominal value month month of the month

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed) (N/A) No. of new No. of new shares of shares of issuer issued issuer which may be issued during the pursuant month Amount at thereto as at pursuant Currency of close of Converted Amount at thereto close of the amount preceding during the close of the month Class and description outstanding month month month

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes) (N/A)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1		
shares (Note 1)		
-		
shares (Note 1)		
-		
shares (Note 1)		
T. (1D. (0.1)		
Total D. (Ordinary shares) (Preference shares)		
(Freference shares)		

Other Movements in Issued Share Capital (N/A) No. of new shares No. of new shares of issuer of issuer issued during the month which may be pursuant thereto issued pursuant thereto as at close of the month Type of Issue Class of shares issuable (Note 1) ( / / State Issue and allotment 1. Rights issue At price: currency date: (dd/mm/yyyy) ( / / EGM approval date: (dd/mm/yyyy) Class of shares issuable (Note 1) State Issue and allotment Open offer At price: currency date: (dd/mm/yyyy) ( / / EGM approval date: (dd/mm/yyyy) Class of shares issuable (Note 1) ( / / ) State Issue and allotment Placing At price: currency date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable (Note 1) ( / / Issue and allotment Bonus issue date: (dd/mm/yyyy)

( / /

EGM approval date: (dd/mm/yyyy)

					No. of new share of issuer issued during the month pursuant thereto	shares of issuer which may be
	Type of Issue					
			Class of shares issuable ( <i>Note 1</i> )			
5.	Scrip dividend At price:	State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)	
			EGM approval date: (dd/mm/yyyy)	( / /	)	
			Class of shares repurchased ( <i>Note 1</i> )			
6.	Repurchase of shares		Cancellation date: (dd/mm/yyyy)	( / /	)	
			EGM approval date: (dd/mm/yyyy)	( / /	)	
			Class of shares redeemed (Note 1)			
7.	Redemption of shares		Redemption date : (dd/mm/yyyy)	( / /	)	
			EGM approval date: (dd/mm/yyyy)	( / /	)	<u> </u>
			Class of shares issuable ( <i>Note 1</i> )			
8.	Consideration At price:	State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)	
			EGM approval date: (dd/mm/yyyy)	( / /	)	

Class of shares issuable (Note 1)  9. Capital reorganisation  State (dd/mm/yyyy)  Class of shares (// / )  (dd/mm/yyyy)  Class of shares issuable (Note 1)  Class of shares (// / )  (dd/mm/yyyy)  Class of shares issuable (Note 1)  Issue and allotment (/ / )  Issue and allotment (/ / )  Gate (dd/mm/yyyy)  EGM approval date: (/ / )  EGM approval date: (/ / )  EGM approval date: (/ / )  (minimized		Type of Issue				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
reorganisation  date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)  10. Other (Please At price: State currency specify)  State (vd/mm/yyyy)  EGM approval date: (/ / / )  Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (/ / / )  EGM approval date: (/ / / )  Total E. (Ordinary shares) (Preference shares)						<u> </u>	
Class of shares issuable (Note 1)  10. Other (Please At price: State currency specify)  State (In the image)	9.				( / /	)	
issuable (Note 1)  Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)  Total E. (Ordinary shares) (Preference shares)					( / /	)	
issuable (Note 1)  Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)  Total E. (Ordinary shares) (Preference shares)							
(Please specify)  Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)  Total E. (Ordinary shares) (Preference shares)	10	Other				<u></u>	
(dd/mm/yyyy)  Total E. (Ordinary shares) (Preference shares)	10.	(Please	At price :		( / /	)	
(Preference shares)					( / /	)	
					Total E.	(Preference shares)	

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	Nil
	(2)	Nil
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A
(These figures should be the same as the relevant figures under II above ("Movements in Iss	ued S	hare Capital").)

Submitted by:	Tang Tuong Hock	-
Title:	Joint Company Secretary	
(Director, Se	cretary or other duly authorised officer)	

## Notes:

- 1. State the class of shares (e.g. ordinary, preference orother).
- 2. If there is insufficient space, please append the prescribed continuation sheet.